

3/7/05  
KLYC-01056USD

Form PTO-1449 (Substitute)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Attorney Docket Number KLYC-01056USD		Serial/Patent Number 10/684,847	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use several sheets if necessary)</i>				Applicant/Patent Owner <b>Zucherman, et al.</b>			
				Filing/Issue Date October 14, 2003		Group Art Unit: 3732	
<b>U.S. PATENTS</b>							
Examiner Initial		Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
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Examiner Initial		Patent Application Publication Number	Publication Date	Applicant			
<b>PENDING U.S. PATENT APPLICATIONS</b>							
Examiner Initial		Application Number	Filing Date	First Named Inventor	Petition to Expunge? Yes   No		
<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Publication Date	Country	Class	Subclass	Trans- lation Yes   No
<b>OTHER DOCUMENTS</b> (Include author (if any), title, publisher and place of publication, date and pertinent pages)							
Examiner	/Pedro Philogene/			Date Considered	05/25/2006		
<p><b>*EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>							
<p>*1 = Copy not submitted because it was submitted in prior application SN <u>      </u> / <u>      </u>, filed <u>      </u>, 20 <u>      </u>, relied on under 35 USC §120.</p>							
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<b>Information Disclosure Statement</b> <b>BY APPLICANT</b> <i>(Use several sheets if necessary)</i>		Applicant/Patent Owner James F. Zucherman et al.	
		Filing/Issue Date October 14, 2003	Group Art Unit 3732

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	2.	5,527,312	6/18/1996	Ray			
	3.	5,554,191	9/10/1996	Lahille et al.			
	4.	6,048,342	4/11/2000	Zucherman			
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	8.	6,458,131	10/1/2002	Ray			
V	9.	6,770,095	08/03/2004	Grinberg			

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PP	10.	2001/0012938	08/09/2001	Zucherman	
PP	11.	2004/0106998	06/03/04	Ferree	
PP	12.	2004/0138750	07/15/2004	Mitchell	

pp	13.	2004/0143332	07/22/2004	Krueger		
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Examiner

/Pedro Philogene/

Date Considered

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pp	1. FR 2724554	03/22/1996	France	A61B	17/70	✓
pp	2. FR 2722088	01/12/1996	France	A61B	17/70	✓
pp	3. FR 2705227	11/25/1994	France	A61F	2/44, 2/46	✓
pp	4. GB 780652	08/07/1957	Great Britain	A61F		✓

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pp	3. FR 2705227	11/25/1994	France	A61F	2/44, 2/46	✓
pp	4. GB 780652	08/07/1957	Great Britain	A61F		✓

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Electronic Version v18

Stylesheet Version v18.0

Title of  
Invention

INTERSPINOUS PROCESS APPARATUS AND METHOD  
WITH A SELECTABLY EXPANDABLE SPACER

Application Number: 10/684847



Confirmation Number: 3522

First Named Applicant: James Zucherman

Attorney Docket Number: KLYC1056USD

Art Unit: 3732

Search string: ( 2456806 or 2677369 or 3426364 or 3648691  
or 3867728 or 3875595 or 4309777 or 4349921  
or 4369769 or 4401112 or 4479491 or 4501269  
or 4553273 or 4554914 or 4599084 or 4599086  
or 4604995 or 4611582 or 4636217 or 4643178  
or 4657550 or 4685447 or 4696290 or 4714469  
or 4743256 or 4772287 or 4790303 or 4834757  
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or 4932975 or 4936848 or 4946378 or 4961740  
or 4969888 or 5011484 or 5015247 or 5026373  
or 5035716 or 5047055 or 5059193 or 5059194  
or 5084049 or 5092866 or 5122130 or 5123926  
or 5167662 or 5055104 ).pn.

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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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	2	2677369	1954-05-04	Knowles			
	3	3426364	1969-02-11	Lumb			
	4	3648691	1972-03-14	Lumb et al.			
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<input type="checkbox"/>	49	5167662	1992-12-01	Hayes et al.
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Signature


Examiner Name	Date
/Pedro Philogene/	05/25/2006

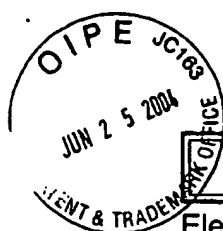


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Title of Invention	INTERSPINOUS PROCESS APPARATUS AND METHOD WITH A SELECTABLY EXPANDABLE SPACER						
Application Number: 10/684847							
Confirmation Number: 3522							
First Named Applicant: James Zucherman							
Attorney Docket Number: KLYC1056USD							
Art Unit: 3732							
Search string: ( 6261296 or 6436145 or 6565570 ).pn.							
<b>US Patent Documents</b>							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
PP	1	6261296	2001-07-17	Aebi et al.			
PP	2	6436145	2002-08-20	Miller			
pp	3	6565570	2003-05-20	Sterett et al.			
<b>Signature</b>							
Examiner Name				Date			
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or 5290312 or 5304178 or 5306309 or 5352225  
or 5387213 or 5390683 or 5395372 or 5415661  
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or 5674295 or 5674296 or 5676702 or 5702455  
or 5766252 or 5800438 or 5824098 or 5865846  
or 5885299 or 5888224 or 5888226 or 5976186  
or 6001130 or 6022376 or 6113639 or 6156067  
or 6234705 or 5725582 ).pn.

### US Patent Documents

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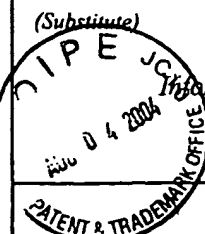
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Signature

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	2	EP 140790 A2	10-1984	EPO			
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	25	WO 00/13619	3-2000	PCT			
	26	WO 00/13620	3-2000	PCT			
	27	WO 00/04851	2-2000	PCT			
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PP	34	Haruo Tsuji, et al., <i>Ceramic Interspinous Block (CISB) Assisted Anterior Interbody Fusion</i> , Journal of Spinal Disorders, Vol.3, No.1, pp.77-86, ©1990 Raven Press, Ltd., New York
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